

PATENT

Docket No. M 6820 PCT/US HST/NI  
Mail Stop AF

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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DEC 15 2003

In re: Application of Nakada et al.

Confirmation No. 2363

Serial No. 09/807,658

Examiner: Andrew L. Oltmans

Filed: 8/27/01

Art Unit: 1742

Title: HYDROPHILIZING AGENT FOR METALLIC MATERIAL, HYDROPHILIZING FLUID,  
METHOD OF HYDROPHILIZING METALLIC MATERIAL AND HEAT EXCHANGER

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents on the date shown  
below.

12-15-03  
Date

Mary Lynne Carlisle  
Signature of certifier

Mary Lynne Carlisle

Typed or printed name of certifier

OFFICIAL

**AMENDMENT UNDER 37 C.F.R. 1.116**

Mail Stop AF  
Commissioner for Patents  
Alexandria, VA

Sir:

**Introductory Comments**

This paper responds to the Official Action mailed June 13, 2003. The Assistant Commissioner is hereby authorized to charge any required fee associated with entry of this amendment to Deposit Account 01-1250. Accordingly, this response is timely filed on or before December 13, 2003 with an Extension of Time Request. Please enter the following amendments:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 10 of this paper.

PTO/SB/30 (8/00)

Approved for use through 10/31/2002. OMB 0651-0037

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# Request For Continued Examination (RCE) Transmittal

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000,  
Provides for continued examination of an utility or plant application  
Filed on or after June 8, 1995.  
See The American Inventors Protection Act of 1999 (AIPA).

|                        |                   |
|------------------------|-------------------|
| Application Number     | 09/807,658        |
| Filing Date            | 08/27/2001        |
| First Named Inventor   | Kazuya Nakada     |
| Group/Art Unit         | 1742              |
| Examiner Name          | Andrew L. Oltmans |
| Attorney Docket Number | M 6820            |

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
**NOTE:** 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (d) (PTO/SB/25) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (Apr. 11, 2000), which established RCE practice.

## 1. Submission required under 37 C.F.R. § 1.114

a. ☐ Previously submitted

i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
(Any unentered amendment(s) referred to above will be entered).

ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

iii. ☐ Other \_\_\_\_\_

b. ☐ Enclosed

i. ☒ Amendment/Reply

ii. ☐ Affidavit(s)/Declaration(s)

iii. ☐ Information Disclosure Statement (IDS)

iv. ☐ Other \_\_\_\_\_

## 2. Miscellaneous

a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. § 1.103 for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months. Fee under 37 C.F.R. § 1.17(d) required)

b. ☐ Other \_\_\_\_\_

## 3. Fees

The RCE fee under 37 C.F.R. § 1.17(a) is required by 37 C.F.R. § 1.114 when the RCE is filed.

a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to

Deposit Account No. 01-1250

i. ☒ RCE fee required under 37 C.F.R. § 1.17(e)

ii. ☒ Extension of time fee (37 C.F.R. §§ 1.136 and 1.17)

iii. ☐ Other \_\_\_\_\_

b. ☐ Check in the amount of \$ \_\_\_\_\_ enclosed

c. ☐ Payment by credit card (Form PTO-2038 enclosed)

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                   |                        |                                   |          |
|-------------------|------------------------|-----------------------------------|----------|
| Name (Print/Type) | Mary K. Cameron        | Registration No. (Attorney/Agent) | 34,789   |
| Signature         | <i>Mary K. Cameron</i> | Date                              | 12/15/03 |

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box RCE, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on: 12-15-03

|                   |                           |      |          |
|-------------------|---------------------------|------|----------|
| Name (Print/Type) | Mary Lynn Carlisle        | Date | 12-15-03 |
| Signature         | <i>Mary Lynn Carlisle</i> |      |          |

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